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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/885,731	06/20/2001	Glen H. Erikson	E1047/20056

**CONFIRMATION NO. 6800**

03000  
CAESAR, RIVISE, BERNSTEIN,  
COHEN & POKOTILOW, LTD.  
12TH FLOOR, SEVEN PENN CENTER  
1635 MARKET STREET  
PHILADELPHIA, PA 19103-2212

**FORMALITIES LETTER**



\*OC00000007645975\*

**R E C E I V E D**  
MAR 20 2002

**C O P Y**

CAESAR, RIVISE, BERNSTEIN  
COHEN & POKOTILOW, LTD.

Date Mailed: 03/15/2002

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE  
DISCLOSURES**

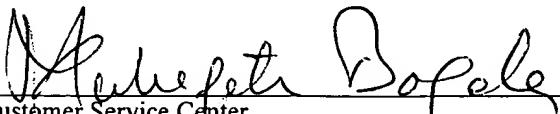
Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

**For questions regarding compliance to these requirements, please contact:**

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

*A copy of this notice MUST be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

MAR 26 2002

BIO TECHNOLOGY  
SYSTEMS  
BRANCH

**RAW SEQUENCE LISTING  
ERROR REPORT**

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 09/885,731A  
Source: 1655  
Date Processed by STIC: 2/5/2002

**RECEIVED**  
FEB 13 2002

TECH CENTER 1600/2900

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.

PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216.

PATENTIN 2.1 e-mail help: [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or phone 703-306-4119 (R. Wax)

PATENTIN 3.0 e-mail help: [patin3help@uspto.gov](mailto:patin3help@uspto.gov) or phone 703-306-4119 (R. Wax)

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 3.1 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

<http://www.uspto.gov/web/offices/pac/checker>

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

1. EFS-Bio (<http://www.uspto.gov/ebc/efs/downloads/documents.htm>), EFS Submission User Manual - ePAVE)
2. U.S. Postal Service: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202
3. Hand Carry directly to:  
U.S. Patent and Trademark Office, Technology Center 1600, Reception Area, 7<sup>th</sup> Floor, Examiner Name, Sequence Information, Crystal Mall One, 1911 South Clark Street, Arlington, VA 22202

Or

- U.S. Patent and Trademark Office, Box Sequence, Customer Window, Lobby, Room 1B03, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202
4. Federal Express, United Parcel Service, or other delivery service to: U.S. Patent and Trademark Office, Box Sequence, Room 1B03-Mailroom, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202

Revised 01/29/2002

C  
O  
P  
Y

**Raw Sequence Listing Error Summary**

<u>ERROR DETECTED</u>	<u>SUGGESTED CORRECTION</u>	<u>SERIAL NUMBER:</u> <u>09/885,731A</u>
<b>ATTN: NEW RULES CASES: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE</b>		
1 <input type="checkbox"/> Wrapped Nucleic Wrapped Aminos	The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to 3; this will prevent "wrapping."	
2 <input type="checkbox"/> Invalid Line Length	The rules require that a line not exceed 72 characters in length. This includes white spaces.	
3 <input type="checkbox"/> Misaligned Amino Numbering	The numbering under each 3 <sup>rd</sup> amino acid is misaligned. Do not use tab codes between numbers; use space characters, instead.	
4 <input type="checkbox"/> Non-ASCII	The submitted file was not saved in ASCII(DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text.	
5 <input type="checkbox"/> Variable Length	Sequence(s) _____ contain n's or Xaa's representing more than one residue. Per Sequence Rules, each n or Xaa can only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.	
6 <input type="checkbox"/> PatentIn 2.0 "bug"	A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s) _____. Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.	
7 <input type="checkbox"/> Skipped Sequences (OLD RULES)	Sequence(s) _____ missing. If intentional, please insert the following lines for each skipped sequence: (2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) (i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading) (ii) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) This sequence is intentionally skipped  Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to include the skipped sequences.	
8 <input type="checkbox"/> Skipped Sequences (NEW RULES)	Sequence(s) _____ missing. If Intentional, please insert the following lines for each skipped sequence. <210> sequence id number <400> sequence id number 000	
9 <input type="checkbox"/> Use of n's or Xaa's (NEW RULES)	Use of n's and/or Xaa's have been detected in the Sequence Listing. Per 1.823 of Sequence Rules, use of <220>-<223> is MANDATORY if n's or Xaa's are present. In <220> to <223> section, please explain location of n or Xaa; and which residue n or Xaa represents.	
10 <input checked="" type="checkbox"/> Invalid <213> Response	Per 1.823 of Sequence Rules, the only valid <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is required when <213> response is Unknown or is Artificial Sequence <u>do not combine responses</u>	
11 <input type="checkbox"/> Use of <220>	Sequence(s) _____ missing the <220> "Feature" and associated numeric identifiers and responses. Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section. (See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of Sequence Rules)	
12 <input type="checkbox"/> PatentIn 2.0 "bug"	Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.	
13 <input type="checkbox"/> Misuse of n	n can only be used to represent a single nucleotide in a nucleic acid sequence. N is not used to represent any value not specifically a nucleotide.	

**COPY**

65 <223> OTHER INFORMATION: Description of Artificial Sequence: variant of sequence  
derived f



1655

RAW SEQUENCE LISTING  
PATENT APPLICATION: US/09/885,731A

DATE: 02/05/2002  
TIME: 14:02:25

Input Set : A:\SeqList.ST25.txt  
Output Set: N:\CRF3\02052002\I885731A.raw

3 <110> APPLICANT: Erikson, Glen  
4 Daksis, Jasmine  
5 Kandic, Ivana  
6 Picard, Pierre  
8 <120> TITLE OF INVENTION: NUCLEIC ACID MULTIPLEX FORMATION  
10 <130> FILE REFERENCE: E1047/20056  
12 <140> CURRENT APPLICATION NUMBER: 09/885,731A  
13 <141> CURRENT FILING DATE: 2001-06-20  
15 <150> PRIOR APPLICATION NUMBER: 09/664,827  
16 <151> PRIOR FILING DATE: 2000-09-19  
18 <150> PRIOR APPLICATION NUMBER: 09/613,263  
19 <151> PRIOR FILING DATE: 2000-07-10  
21 <150> PRIOR APPLICATION NUMBER: 09/468,679  
22 <151> PRIOR FILING DATE: 1999-12-21  
24 <160> NUMBER OF SEQ ID NOS: 15  
26 <170> SOFTWARE: PatentIn version 3.0  
28 <210> SEQ ID NO: 1  
29 <211> LENGTH: 50  
30 <212> TYPE: DNA  
C--> 31 <213> ORGANISM: Artificial/Unknown *invalid - see item 10 on Exam Summary Sheet*  
33 <220> FEATURE:  
34 <221> NAME/KEY: misc\_feature  
35 <223> OTHER INFORMATION: Description of Artificial Sequence: derived from exon 10 of the h  
36 human cystic fibrosis gen gene?  
39 <400> SEQUENCE: 1  
40 tggcaccatt aaagaaaata tcatcttgg tgtttcstat gatgaatata 50  
43 <210> SEQ ID NO: 2  
44 <211> LENGTH: 50  
45 <212> TYPE: DNA  
C--> 46 <213> ORGANISM: Artificial/Unknown  
48 <220> FEATURE:  
49 <221> NAME/KEY: misc\_feature  
50 <223> OTHER INFORMATION: Description of Artificial Sequence: variant of sequence derived f  
51 rom exon 10 of the human cystic fibrosis gen  
54 <400> SEQUENCE: 2  
55 tggcaccatt aaagaaaata tcgtcttgg tgtttcstat gatgaatata 50  
58 <210> SEQ ID NO: 3  
59 <211> LENGTH: 50  
60 <212> TYPE: DNA  
C--> 61 <213> ORGANISM: Artificial/Unknown  
63 <220> FEATURE:  
64 <221> NAME/KEY: misc\_feature

Does Not Comply  
Corrected Diskette Needed

pp 134,6

COPY

RAW SEQUENCE LISTING  
PATENT APPLICATION: US/09/885,731A

DATE: 02/05/2002  
TIME: 14:02:25

Input Set : A:\SeqList.ST25.txt  
Output Set: N:\CRF3\02052002\I885731A.raw

140 <223> OTHER INFORMATION: Description of Artificial Sequence: variant of sequence derived f

141        rom exon 10 of the human cystic fibrosis gen  
144 <400> SEQUENCE: 8

50

145 gagcaccatg acagacactg tcgtctctgg tgtgtcctac gatgactctg

148 <210> SEQ ID NO: 9

149 <211> LENGTH: 50

150 <212> TYPE: DNA

C--> 151 <213> ORGANISM: Artificial/Unknown

153 <220> FEATURE:

154 <221> NAME/KEY: misc\_feature

155 <223> OTHER INFORMATION: Description of Artificial Sequence: variant of sequence derived f

156        rom exon 10 of the human cystic fibrosis gen

159 <400> SEQUENCE: 9

50

160 gagcaccatg acagacactg tcttctctgg tgtgtcctac gatgactctg

163 <210> SEQ ID NO: 10

164 <211> LENGTH: 50

165 <212> TYPE: DNA

C--> 166 <213> ORGANISM: Artificial/Unknown

168 <220> FEATURE:

169 <221> NAME/KEY: misc\_feature

170 <223> OTHER INFORMATION: Description of Artificial Sequence: variant of sequence derived f

171        rom exon 10 of the human cystic fibrosis gen

174 <400> SEQUENCE: 10

50

175 gagcaccatg acagacactg tcatccctgg tgtgtcctac gatgactctg

178 <210> SEQ ID NO: 11

179 <211> LENGTH: 50

180 <212> TYPE: DNA

C--> 181 <213> ORGANISM: Artificial/Unknown

183 <220> FEATURE:

184 <221> NAME/KEY: misc\_feature

185 <223> OTHER INFORMATION: Description of Artificial Sequence: variant of sequence derived f

186        rom exon 10 of the human cystic fibrosis gen

189 <400> SEQUENCE: 11

50

190 gagcaccatg acagacactg tcatcgctgg tgtgtcctac gatgactctg

193 <210> SEQ ID NO: 12

194 <211> LENGTH: 50

195 <212> TYPE: DNA

C--> 196 <213> ORGANISM: Artificial/Unknown

198 <220> FEATURE:

199 <221> NAME/KEY: misc\_feature

200 <223> OTHER INFORMATION: Description of Artificial Sequence: variant of sequence derived f

201        rom exon 10 of the human cystic fibrosis gen

204 <400> SEQUENCE: 12

50

205 gagcaccatg acagacactg tactctctgg tgtgtcctac gatgactctg

208 <210> SEQ ID NO: 13

209 <211> LENGTH: 50

210 <212> TYPE: DNA

C--> 211 <213> ORGANISM: Artificial/Unknown

213 <220> FEATURE:

RAW SEQUENCE LISTING  
PATENT APPLICATION: US/09/885,731A

DATE: 02/05/2002  
TIME: 14:02:25

Input Set : A:\SeqList.ST25.txt  
Output Set: N:\CRF3\02052002\I885731A.raw

```

66      rom exon 10 of the human cystic fibrosis gen
69 <400> SEQUENCE: 3
70 tggcaccatt aaagaaaata tactcttgg tgttcctat gatgaatata      50
73 <210> SEQ ID NO: 4
74 <211> LENGTH: 50
75 <212> TYPE: DNA
C--> 76 <213> ORGANISM: Artificial/Unknown
78 <220> FEATURE:
79 <221> NAME/KEY: misc_feature
80 <223> OTHER INFORMATION: Description of Artificial Sequence: variant of sequence
derived f
81      rom exon 10 of the human cystic fibrosis gen
84 <400> SEQUENCE: 4
85 tggcaccatt aaagaaaata tacgcttgg tgttcctat gatgaatata      50
88 <210> SEQ ID NO: 5
89 <211> LENGTH: 47
90 <212> TYPE: DNA
C--> 91 <213> ORGANISM: Artificial/Unknown
93 <220> FEATURE:
94 <221> NAME/KEY: misc_feature
95 <223> OTHER INFORMATION: Description of Artificial Sequence: variant of sequence
derived f
96      rom exon 10 of the human cystic fibrosis gen
99 <400> SEQUENCE: 5
100 tggcaccatt aaagaaaata tcattggtgt ttccatgtat gaatata      47
103 <210> SEQ ID NO: 6
104 <211> LENGTH: 50
105 <212> TYPE: DNA
C--> 106 <213> ORGANISM: Artificial/Unknown
108 <220> FEATURE:
109 <221> NAME/KEY: misc_feature
110 <223> OTHER INFORMATION: Description of Artificial Sequence: variant of sequence
derived f
111      rom exon 10 of the human cystic fibrosis gen
114 <400> SEQUENCE: 6
115 gagcaccatg acagacactg tcatctctgg tgtgtcctac gatgactctg      50
118 <210> SEQ ID NO: 7
119 <211> LENGTH: 50
120 <212> TYPE: DNA
C--> 121 <213> ORGANISM: Artificial/Unknown
123 <220> FEATURE:
124 <221> NAME/KEY: misc_feature
125 <223> OTHER INFORMATION: Description of Artificial Sequence: variant of sequence
derived f
126      rom exon 10 of the human cystic fibrosis gen
129 <400> SEQUENCE: 7
130 gagcaccatg acagacactg tcatcttgg tgtgtcctac gatgactctg      50
133 <210> SEQ ID NO: 8
134 <211> LENGTH: 50
135 <212> TYPE: DNA
C--> 136 <213> ORGANISM: Artificial/Unknown
138 <220> FEATURE:
139 <221> NAME/KEY: misc_feature

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**RAW SEQUENCE LISTING**

DATE: 02/05/2002  
TIME: 14:02:25

Input Set : A:\SeqList.ST25.txt  
Output Set: N:\CRF3\02052002\I885731A.raw



## VERIFICATION SUMMARY

PATENT APPLICATION: US/09/885,731A

DATE: 02/05/2002

TIME: 14:02:26

Input Set : A:\SeqList.ST25.txt

Output Set: N:\CRF3\02052002\I885731A.raw

L:31 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:1  
L:46 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:2  
L:61 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:3  
L:76 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:4  
L:91 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:5  
L:106 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:6  
L:121 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:7  
L:136 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:8  
L:151 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:9  
L:166 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:10  
L:181 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:11  
L:196 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:12  
L:211 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:13  
L:226 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:14  
L:241 M:220 C: Keyword misspelled or invalid format, <213> ORGANISM for SEQ ID#:15



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## ATTACHMENT TO "NOTICE TO COMPLY WITH REQUIREMENTS...SEQUENCE DISCLOSURES"

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1. Electronically submitted through EFS-Bio  
(<http://www.uspto.gov/ebc/efs/downloads/documents.htm>, EFS Submission User Manual - ePAVE)
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